



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: David A. Sirbasku

GROUP ART UNIT: 1642

APPLICATION NO.: 09/852,547

EXAMINER: Karen A. Canella

FILED: May 10, 2001

FOR: Compositions and Methods for
the Diagnosis, Treatment and
Prevention of Steroid Hormone
Responsive Cancers

RECEIVED

APR 14 2003

TECH CENTER 1800/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1944-00800

Date: April 4, 2003

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97, §1.98, Applicant is providing herewith a Supplemental Information Disclosure Statement, including completed Form PTO-1449 comprising a list of pertinent art of which Applicant is aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement, Form PTO 1449 filed on September 11, 2002, and the references provided therewith.

The submission of this Supplemental Information Disclosure Statement and the references provided therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Because an Office Action has issued in this case, this Supplemental Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c). Applicant hereby authorizes the Commissioner to charge Deposit Account 03-2769 of Conley Rose, P.C., Houston, Texas the amount of \$180 so that this Information Disclosure Statement may be considered.

04/11/2003 MMEKONEN 00000053 032769 09852547

01 FC:1806 180.00 CH

Respectfully submitted,

Carol G. Mintz

Carol G. Mintz

Reg. No. 38,561

CONLEY ROSE, P.C.

P. O. Box 3267

Houston, Texas 77253-3267

(713) 238-8000

AGENT FOR APPLICANT



Please type a plus sign (+) inside this box → ☐

PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/852,547
Filing Date	05/10/2001
First Named Inventor	David A. Sirbasku
Group Art Unit	1642
Examiner Name	Karen A. Canella
Attorney Docket Number	1944-00800

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental
Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment
(for an application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
identify below):

Form PTO-1449 (with copies of
246 cited references)

Acknowledgement Postcard

Remarks

RECEIVED

APR 14 2003

TECH CENTER 1800/2900

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
Or
Individual Name

Carol G. Mintz, Reg. No. 38,561
Conley Rose, P.C.

Signature

Carol G. Mintz

Date

April 4, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 4, 2003

Typed or Printed Name

Frances Hampton

Signature

Frances Hampton

Date

April 4, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



FEE TRANSMITTAL For FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 180.00

METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:
Deposit Account Number: 03-2769
Deposit Account Name: Conley Rose, P.C.

The Commissioner is hereby authorized to: (check all that apply)
☒ Charge fee(s) indicated below
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)						
1001 750	2001 375					Utility filing fee	\$
1002 330	2002 165					Design filing fee	\$
1003 520	2003 260					Plant filing fee	\$
1004 750	2004 375					Reissue filing fee	\$
1005 160	2005 80					Provisional filing fee	\$

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	x	18.00	\$
3**	x	84.00	\$
Multiple Dependent		280.00	\$ 00.00

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)						
1202 18	2202 9					Claims in excess of 20	
1201 84	2201 42					Independent Claims in excess of 3	
1203 280	2203 140					Multiple dependent claim, if not paid	
1204 84	2204 42					** Reissue independent claims over original patent	
1205 18	2205 9					** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

** or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Carol G. Mintz	38,561	(713) 238-8000	April 4, 2003
Signature	Carol G. Mintz		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, 98844.01/1944-00800

If you need assistance in completing the form, call 1-800-0PTO-9199 (1-800-786-9199) and select option 2.

Complete if Known

Application Number	09/852,547
Filing Date	May 10, 2001
First Named Inventor	David A. Sirbasku
Examiner Name	Karen A. Canella
Group Art Unit	1642
Attorney Docket No.	1944-00800

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)						
1051 130	2051 65					Surcharge - late filing fee or oath	\$
1052 50	2052 25					Surcharge - late provisional filing fee or cover sheet	\$
1053 130	1053 130					Non-English specification	\$
1812 2,520	1812 2,520					For filing a request for ex parte reexamination	\$
18042 920*	1804 920*					Requesting publication of SIR prior to Examiner action	\$
1805 1,840*	1805 1,840*					Requesting publication of SIR after Examiner action	\$
1251 110	2251 55					Extension for reply within first month	\$
1252 410	2252 205					Extension for reply within second month	\$
1253 930	2253 465					Extension for reply within third month	\$
1254 1,450	2254 725					Extension for reply within fourth month	\$
1255 1,970	2255 985					Extension for reply within fifth month	\$
1401 320	2401 160					Notice of Appeal	\$
1402 320	2402 160					Filing a brief in support of an appeal	\$
1403 280	2403 140					Request for oral hearing	\$
1451 1,510	1452 1,510					Petition to institute a public use proceeding	\$
1452 110	2452 55					Petition to revive - unavoidable	\$
1453 1,300	2453 650					Petition to revive - unintentional	\$
1501 1,300	2501 650					Utility issue fee (or reissue)	\$
1502 470	2502 235					Design issue fee	\$
1503 630	2503 315					Plant issue fee	\$
1460 130	1460 130					Petitions to the Commissioner	\$
1807 50	1806 50					Processing fee under 37 CFR 1.17(g)	\$
123 50	123 50					Petitions related to provisional applications	\$
1806 180	1806 180					Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40					Recording each patent assignment per property (times number of properties)	\$
1809 750	2809 375					Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810 750	2810 375					For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801 750	2801 375					Request for Continued Examination (RCE)	\$
1802 900	1802 900					Request for expedited examination of a design application	\$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$180.00